


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PTO/SB/05 (03-01)

Approved for use through 10/31/2002 OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 33773M033

First Inventor Kazuma SEKIYA

Title METHOD OF MOUNTING A ROTATING TOOL TO A SPINDLE

Express Mail Label No.

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 20]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
5. Oath or Declaration [Total Pages 1]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.


☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. \_\_\_\_\_ /  
Prior application information: Examiner \_\_\_\_\_ Group / Art Unit \_\_\_\_\_

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 00441 or ☐ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

|         |                                |           |                |          |                |
|---------|--------------------------------|-----------|----------------|----------|----------------|
| Name    | SMITH, GAMBRELL & RUSSELL, LLP |           |                |          |                |
| Address | 1850 M STREET, N.W., SUITE 800 |           |                |          |                |
| City    | WASHINGTON                     | State     | DC             | Zip Code | 20036          |
| Country | USA                            | Telephone | (202) 263-4300 | Fax      | (202) 263-4329 |

|                   |   |                                   |                  |
|-------------------|---|-----------------------------------|------------------|
| Name (Print/Type) | MICHAEL A. MAKUCH   | Registration No. (Attorney/Agent) | 32,263           |
| Signature         |  | Date                              | JANUARY 23, 2002 |

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 780

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | TO BE ASSIGNED   |
| Filing Date          | JANUARY 23, 2002 |
| First Named Inventor | Kazuma SEKIYA    |
| Examiner Name        | TO BE ASSIGNED   |
| Group / Art Unit     | TO BE ASSIGNED   |
| Attorney Docket No   | 33773M033        |

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account.

Deposit  
Account  
Number

02-4300

Deposit  
Account  
Name

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments  
☒ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description        | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|----------|
| 101                  | 740                   | 201                  | 370                   | Utility filing fee     | 740      |
| 106                  | 330                   | 206                  | 165                   | Design filing fee      |          |
| 107                  | 510                   | 207                  | 255                   | Plant filing fee       |          |
| 108                  | 740                   | 208                  | 370                   | Reissue filing fee     |          |
| 114                  | 160                   | 214                  | 80                    | Provisional filing fee |          |

SUBTOTAL (1)

(\$ 740)

### 2. EXTRA CLAIM FEES

| Total Claims          | Extra<br>Claims | Fee from<br>below | Fee<br>Paid |     |
|-----------------------|-----------------|-------------------|-------------|-----|
| 8                     | -20 ** = 0      | X                 | = 0         |     |
| Independent<br>Claims | 2               | -3 ** = 0         | X           | = 0 |
| Multiple<br>Dependent |                 | X                 | = 0         |     |

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description  |
|----------------------|-----------------------|----------------------|-----------------------|--|
| 103                  | 18                    | 203                  | 9                     | Claims in excess of 20                                     |
| 102                  | 84                    | 202                  | 42                    | Independent claims in excess of 3                          |
| 104                  | 280                   | 204                  | 140                   | Multiple dependent claim, if not paid                      |
| 109                  | 84                    | 209                  | 42                    | ** Reissue independent claims over original patent         |
| 110                  | 18                    | 210                  | 9                     | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$ 0)

\*\*or number previously paid, if greater, For Reissues, see above

## FEE CALCULATION (continued)

| Large<br>Entity<br>Fee<br>Code | Fee<br>(\$) | Small<br>Entity<br>Fee<br>Code | Fee<br>(\$) | Fee Description  | Fee Paid |
|--------------------------------|-------------|--------------------------------|-------------|--|----------|
| 105                            | 130         | 205                            | 65          | Surcharge - late filing fee or oath  |          |
| 127                            | 50          | 227                            | 25          | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139                            | 130         | 139                            | 130         | Non-English specification  |          |
| 147                            | 2,520       | 147                            | 2,520       | For filing a request for reexamination                                     |          |
| 112                            | 920*        | 112                            | 920*        | Requesting publication of SIR prior to Examiner action                     |          |
| 113                            | 1,840*      | 113                            | 1,840*      | Requesting publication of SIR after Examiner action                        |          |
| 115                            | 110         | 215                            | 55          | Extension for reply within first month                                     |          |
| 116                            | 400         | 216                            | 200         | Extension for reply within second month                                    |          |
| 117                            | 920         | 217                            | 460         | Extension for reply within third month                                     |          |
| 118                            | 1,440       | 218                            | 720         | Extension for reply within fourth month                                    |          |
| 128                            | 1,960       | 228                            | 980         | Extension for reply within fifth month                                     |          |
| 119                            | 320         | 219                            | 160         | Notice of Appeal   |          |
| 120                            | 320         | 220                            | 160         | Filing a brief in support of an appeal                                     |          |
| 121                            | 280         | 221                            | 140         | Request for oral hearing   |          |
| 138                            | 1,510       | 138                            | 1,510       | Petition to institute a public use proceeding                              |          |
| 140                            | 110         | 240                            | 55          | Petition to revive - unavoidable   |          |
| 141                            | 1,280       | 241                            | 640         | Petition to revive - unintentional   |          |
| 142                            | 1,280       | 242                            | 640         | Utility issue fee (or reissue)   |          |
| 143                            | 460         | 243                            | 230         | Design issue fee   |          |
| 144                            | 620         | 244                            | 310         | Plant issue fee  |          |
| 122                            | 130         | 122                            | 130         | Petitions to the Commissioner  |          |
| 123                            | 50          | 123                            | 50          | Processing fee under 37 CFR 1.17 (q)                                       |          |
| 126                            | 180         | 126                            | 180         | Submission of Information Disclosure Stmt                                  |          |
| 581                            | 40          | 581                            | 40          | Recording each patent assignment per property (times number of properties) | 40       |
| 146                            | 740         | 246                            | 370         | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149                            | 740         | 249                            | 370         | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179                            | 740         | 279                            | 370         | Request for Continued Examination (RCE)                                    |          |
| 169                            | 900         | 169                            | 900         | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 40)

## SUBMITTED BY

## Complete (if applicable)

|                   |                  |                                 |        |           |                  |
|-------------------|------------------|---------------------------------|--------|-----------|------------------|
| Name (Print/Type) | MICHAEL A MAKUCH | Registration No. Attorney/Agent | 32,263 | Telephone | (202) 263-4300   |
| Signature         |                  |                                 |        | Date      | JANUARY 23, 2002 |

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